

## **Appendix: Iron & Steel Documentation required by Cummins**

Option A:

Provide Cummins with direct evidence of the country of origin of the iron and steel used in the manufacture of the items, including:

- Mill Test Certificates (MTCs),
- long-term supplier declarations of iron and steel sourcing,
- Invoices from iron and steel sources,
- delivery notes from iron and steel sources,
- quality certificates of iron and steel inputs,
- costing and production documents related to iron and steel inputs,
- customs documents of the exporting country of the iron and steel sources,
- trade correspondence,
- production descriptions,
- exclusion clauses in sales contracts, or
- manufacturer's statements if they refer directly to the shipment in question (not general statements).

Note: A certificate of non-preferential origin (CoO) is not accepted as evidence.

Option B:

As an alternative, Cummins will accept a signed declaration from an exporter or manufacturer that follows this format:

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*Date*

Dear Cummins:

Acting as manufacturer and/or supplier, we

***COMPANY NAME***  
***COMPANY ADDRESS***  
***COMPANY COUNTRY***

hereby confirm, after exercising due diligence, that

- None of the products provided to Cummins Inc., and

- None of the products or raw materials provided to us by our suppliers to manufacture our products

incorporate any products or raw materials of steel or iron of Russian origin

- As listed in relevant sanctions laws and regulations, such as Annex XVII of EU Council Regulation (EU) 833/2014 (as amended on June 23, 2023) or the United Kingdom Russia Sanctions (EU Exit) (Amendment) Regulations 2023.

We affirm the due diligence we have conducted has included reviews of one or more of the following: Mill-Test Certificates (MTCs); Invoices from iron and steel sources; Delivery notes from iron and steel sources; Quality certificates of iron and steel inputs; Long-term supplier declarations of iron and steel sourcing; Costing and production documents related to iron and steel inputs; Customs documents of the exporting country of the iron and steel sources; Trade correspondence; Production descriptions; Exclusion clauses in sales contracts; and Manufacturer's statements if they refer directly to the shipment in question (not general statements).

If required by Cummins, we are willing and able to provide evidence of due diligence conducted for all individual articles sold to identify their respective country of origin.

If the facts certified here change, we will notify Cummins immediately.

Sincerely,

*Signature*

*Name of Authorized Company Official*

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